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Extrapolating feedback processes from the present to the past

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Extant terrestrial vegetation alters its physical environment via its albedo, and its influence on immediate temperature via stomatal and boundary-layer influences of energy dissipation as sensible and latent heat; aquatic vegetation also controls albedo (e.g. coccolithophorids) and, by competing with water for electromagnetic energy absorption, the depth of the mixed layer and hence the quantity of nutrients trapped for the spring bloom. Both aquatic and terrestrial vegetation have had, together with microbial and geological processes, an influence on O₂ and CO₂ levels, and hence on the availability and biological functioning of Fe, Mn, Cu, Zn, Se and P, and the relative competitive advantage of C₃ versus C₄, crassulacean acid metabolism (CAM) and carbon concentration mechanism (CCM) organisms. Less directly, changes in primary productivity impact on the production of CH₄ and N₂O which, like CO₂, are greenhouse gases, while some (marine) primary producers yield dimethyl sulphide (and hence cloud condensation nuclei, with effects on cloudiness) and halocarbons (via, in part, O₂-dependent processes), partly negating the O₃ attenuation of UV-B radiation. These effects can be related to the terrestrial embryophytic vegetation back to *ca.* 450 Ma, and to eukaryotic marine vegetation back to at least 1.7, and probably 2.1 Ga, with implications for *inter alia* C₃ versus C₄, CAM and CCM photosynthesis, and Fe acquisition mechanisms. Even earlier (3.8 Ga onwards), prokaryotes may have influenced CO₂ levels and hence controlled (as they did later) surface temperature. By producing O₂, they may have led to decreasing availability of Fe, Mn and P (and utility of Se?), and increasing availability of Cu (and Zn?) that shaped the biochemistry on which later biogeochemistry was based.

Keywords: greenhouse gases, palaeobiota, elemental availability, palaeoatmospheres, photosynthetic pathways

1. INTRODUCTION

This paper addresses the extrapolation of feedback processes among vegetation, climate, and the atmosphere from the present to the past without encroaching unduly on the areas covered by other contributors to this proceedings. I begin with a brief discussion of the sorts of feedback that are important at the present. In doing this, vegetation is considered in the broad sense as the sum of those organisms which contribute primary productivity by 'plant-type' photosynthetic processes that involve O₂ evolution in parallel with CO₂ fixation, i.e. including aquatic as well as terrestrial biota.

Considering how the feedbacks found today could have applied in the past will initially involve discussion of the last 450 Ma or so, when embryophytic vegetation on Earth greatly increased the potential for interactions between terrestrial vegetation, climate, and the atmosphere, as well as having implications for aquatic photosynthesis. Not only was this the time of the origin and evolution of terrestrial embryophytes, but also a time of very considerable change of aquatic primary producers.

The period from at least 1.7 (probably 2.1) Ga to 0.45 Ga represents the time when eukaryotes existed before the origin of embryophytes, with evidence for some terrestrial biota for at least the last half of this time (1 Ga onwards). This time, from the origin of eukaryotes onwards, marks oxygenation of the atmosphere (and oceans).

The third period considered is the time from the origin of photosynthetic O₂ evolution (3.5 billion years ago?) where extrapolation of present-day feedback processes may seem a very dubious proposition, but extant feedbacks set the scene even for very early changes in biota and in the atmosphere and climate (Raven 1997*a,b*).

2. PRESENT-DAY FEEDBACK PROCESSES

Extant terrestrial, predominantly embryophytic, vegetation has important implications for the physical environment. Terrestrial vegetation canopies modify albedo relative to the underlying rooting medium, and thus have an impact on the Earth's radiation balance. Another impact on vascular plant vegetation concerns the fate of the absorbed radiation (as opposed to reflected, albedo-related radiation) which is not used in photosynthesis, i.e. at least 70% of 400–700 nm radiation. The vascular plant canopy can influence the dissipation of absorbed energy as latent heat (transpiration) or sensible heat (convective radiation). This occurs by determining (i) the surface roughness of the absorptive surface, (and thus, with wind speed, the possibility of heat and water vapour exchange between the atmosphere and the canopy), and (ii) stomatal activity: closed stomata cause more sensible heat dissipation, wide open stomata bring about more dissipation as latent heat and lower canopy surface temperature. These effects of vascular plants on

atmospheric environment, and hence the climate, are affected to the modulating effects of vegetation on run-off water-table levels, and the depth of rooting making water available for evaporation. All of these processes alter the temporal relation between rainfall and evaporation.

Aquatic vegetation also has influence on the physical environment in aquatic systems. The CaCO_3 liths of coccolithophoids increase the local albedo of the ocean (Green & Leberer 1994). Aquatic vegetation adds to radiation absorption by water and dissolved substances near the surface of water bodies. A high enough density of pigment on the surface can lead to a thinning of the upper mixed layer, thus reducing the quantity of nutrients trapped for the 'spring bloom' in temperate and polar water bodies (Evans & Fasham 1993).

Chemical effects of terrestrial and aquatic vegetation on the atmosphere include CO_2 uptake and O_2 evolution. The atmospheric contents of O_2 and CO_2 are, respectively, in a ratio 210 : 0.36 or 583 : 1, with correspondingly greater long-term effects of vegetation on atmospheric CO_2 than O_2 , granted a photosynthetic quotient of about 1.0. The notions of how vegetation modulates the atmospheric CO_2 and O_2 contents are subject to revision over periods longer than a few months as a result of chemotrophic activity and, in the longer term, geological processes (Beran 1995; Broecker 1995; Evans & Fasham 1995; Walker 1994). CO_2 , with CH_4 , N_2O , and CFCs, are 'greenhouse gases', and their current anthropogenic increases are apparently increasing the Earth's surface temperature. The present CO_2 level, and its increase, are probably reversing the competitive advantage of C_4 (and, perhaps, crassulacean acid metabolism (CAM) terrestrial plants) over C_3 terrestrial plants, which occurred during the generally downward trend of atmospheric CO_2 over the past few tens of millions of years, at least in some environments. It may also have effects on stomata, which increase the dissipation of absorbed energy as sensible heat rather than latent heat, with consequent effects on canopy temperature; however, the conclusions of Sellers *et al.* (1995) may be based on some over-simplifications in their model.

In the aquatic environment, the effect of altered atmospheric CO_2 is modulated by the occurrence of carbonate minerality at some 2 mol OH^- equivalent per cubic metre of ocean, and varying concentrations in inland waters. Many aquatic plants can use exogenous bicarbonate, and the concentration changes much less than does that of dissolved CO_2 when atmospheric CO_2 changes. Therefore, they show less response to changed atmospheric CO_2 , even though the present dissolved inorganic C concentration is saturating for photosynthesis or *in situ* growth (Raven *et al.* 1997c). However, larger changes in dissolved inorganic C or very large changes in atmospheric CO_2 can have significant effects on the performance of aquatic phototrophs as a whole, and on the relative success of different genotypes (Raven 1997c). In the aquatic, as in the terrestrial environment, the expression of increased light-saturated photosynthesis by C_3 plants with higher inorganic C concentrations in terms of increased *in situ* growth requires that there shall be little sink limitation for such growth by increased nutrient supply, or by decreased inorganic C fixation due to limited light supply. It must be remembered

that there are theoretical, and sometimes observational, reasons for believing that fewer photons are needed per inorganic C fixed, and that less N, Fe and Mn are needed per unit biomass to achieve a given biomass-specific rate of inorganic C assimilation when a plant with C_3 metabolism is growing at higher (approaching, or above, saturation) CO_2 levels, than when it is growing at lower (limiting or co-limiting with some other resource) levels. These changes in 'resource use efficiency' (*sensu lato*) may go some way to permitting the potential for increased rates of photosynthesis and growth by C_3 plants at high CO_2 levels to be realized, and thus increase their potential for outcompeting organisms with obligate C_4 , CAM, and CCM (carbon concentrating mechanisms based on active H^+ , CO_2 or HCO_3^- transport across membranes; Raven 1997c) mechanisms of inorganic C acquisition.

These effects of changed atmospheric composition on the potential primary productivity of vegetation, and the contribution of biota with different inorganic C acquisition mechanisms, constitutes a negative feedback in that a higher CO_2 level may increase C sequestration, at least in the short-term, and vice versa. That such feedbacks are not completely effective over the time scale of decades to centuries is attested to by the current anthropogenic increase in atmospheric CO_2 (and earlier CO_2 variations: see §3). However, without vegetation the fluctuations could have been larger. Furthermore, there is little evidence of feedback of changed atmospheric CO_2 via changed plant C to other element ratios, on the availability of the 'other elements' (N, Fe, Mn), for example, by a changed C : N ratio in terrestrial plant litter altering the chemotrophic N_2 fixation in soil.

Present-day plants do not significantly alter the atmospheric O_2 level over centuries to millennia, and do not directly alter the global availability of nutrient elements whose availability is altered by effects of O_2 on their redox state (Fe, Mn, Cu) or via changes in the redox state of other elements (reduced availability of P as a result of oxidation of Fe). Extant plants can make 'local arrangements' which increase the availability of these elements, e.g. terrestrial vascular plants (except grasses) create a local reducing environment (with lower pH and secretion of chelators) in their rhizosphere when Fe-deficient in aerated soil (Raven 1997a-c). Alterations of total transpiratory water use as a function of atmospheric CO_2 levels can alter soil water content and thus have an (small) impact on the availability of redox-influenced elements *via* alterations to the likelihood of waterlogging.

The existence of O_2 in the atmosphere, and dissolved in natural waters, resulting from the activity of O_2 -evolving photolithotrophs plus other biogeochemical processes (see §3), is related to the occurrence of biogenic atmospheric trace gases with profound environmental implications. Thus, a minor component of the greenhouse gas N_2O in the atmosphere results from the O_2 -dependent microbial process of nitrification, while most of it results from microbial denitrification whose occurrence depends on the O_2 -dependent occurrence of nitrate, albeit with denitrification occurring in localized hypoxic or anoxic environments generated by faster organic sedimentation and microbial metabolism than O_2 diffusion in aquatic (including water-logged soil) habitats. Such habitats can also yield CH_4 when electron acceptors for anoxic metabolism other

than CO₂ have been exhausted. Essentially all of these electron acceptors other than CO₂ have been generated courtesy of the presence of O₂; a very striking example is the abundant sulphate in seawater. O₂ also catalyses the (non-bacterial) breakdown of atmospheric methane via photochemically produced hydroxyl radicals. Other biogenic gases which are indirectly or directly dependent on O₂ for their production and/or consumption include the halocarbons and dimethyl sulphide (DMS), as well as ozone (O₃).

The halocarbons are partly produced by immediately O₂-dependent haloperoxidase enzymes, although the specificity of the haloperoxidases means that many, largely non-volatile, halocarbons must be produced by other means (van Pée 1996). One such means is the 'carbocation' route which, while not obviously O₂-dependent, has so far only been found in aerobes (O₂-evolving photolithotrophs) (van Pée 1996). The halocarbons can produce chlorine (Cl) and bromine (Br) atoms, and ClO and BrO radicals, in the stratosphere, and thus catalyse O₃ breakdown and increase the solar UV-B flux reaching the surface of land and sea, although today their impact on stratospheric O₃ is minor relative to that of anthropogenic CFCs and the like (Lovelock 1975; Palenik *et al.* 1991; Raven 1997*b*; Rozema *et al.* 1997). In the stratosphere, O₃ is itself the product of photochemical modification of O₂; it should be noted that attenuation of UV-B by natural waters is very largely dependent on dissolved O₂ (not dissolved O₃!) (Kirk 1994).

Although it is a completely reduced S compound, DMS is apparently always a product of aerobes, this time as an enzymic (or otherwise) breakdown product of the compatible solute and cryoprotectant dimethyl sulphonioacetate (DMSP) which they produce (Kiene *et al.* 1996). In the atmosphere, DMS is oxidized (again using OH radicals derived photochemically from O₂) to methane sulphonic acid and to sulphur dioxide (SO₂), and hence SO₃ which hydrates to sulphuric acid (H₂SO₄). Atmospheric H₂SO₄ can form cloud condensation nuclei which alter albedo and hence the radiation balance of affected areas. Atmospheric S derived from ocean processes (as essentially all non-volcanic S was prior to anthropogenic effects) can alleviate S-deficiency in soils.

Finally, immediate terrestrial plant products (volatile hydrocarbons) react photochemically with NO_x to produce tropospheric O₃ (Pienaar & Helas 1996), with greenhouse and UV-B screening effects, but with a predominantly damaging influence on biota. Other damaging O-containing gases, e.g. the free radicals NO and NO₂, are naturally generated by O₂-dependent reactions (a combination of N₂ and O₂ in bolide impacts, thunderstorms and forest fires and, biologically, in nitrification and denitrification (dissimilatory nitrate reduction), as well as assimilatory nitrate reduction and nitric oxide synthase which occurs in higher plants as well as metazoa: Cueto *et al.* 1996). As with SO₂ and tropospheric O₃, biologically damaging levels of NO and NO₂ are anthropogenic (bolides excepted).

Biogenic S and halide gases act *inter alia* to transfer S and halides from the sea to land. DMS production in the sea may have important implications for S supply to S-deprived land areas, although the transfer is not global (cf. the relatively localized impact of

'point' anthropogenic sources of SO₂). The production of iodocarbons from the ocean could help supply I-deficient land areas, with implications for I-requiring organisms (i.e. vertebrates).

The atmosphere also serves to carry nutrient elements from land to the sea. An example is combined N as ammonia (NH₃). Raven *et al.* (1992) point out that shoots of terrestrial plants have a higher NH₃ compensation concentration, i.e. the constant steady-state NH₃ concentration in an enclosed gas phase containing the plant shoot exceeds the NH₃ concentration in an enclosed gas phase over seawater containing phytoplankton and grazers. This means that NH₃ will be transferred from the land to the oceans in the gas phase, paralleling the combined N flux in solution and as particles down rivers. The small fraction of N assimilated from the soil which is emitted as gaseous NH₃, and the relatively short half-life of atmospheric NH₃ due to oxidation by OH radicals and rain-out, restrict the flux of NH₃ from land to the sea via the atmosphere to a *maximum* of ca. 2% of the total nitrogen used in primary production in the oceans, or about 8 out of ca. 400 Tmol N yr⁻¹ (Raven *et al.* 1992).

3. EXTRAPOLATION OF FEEDBACK PROCESSES TO THE PAST

(a) *The 450 Ma or so since the origin of an embryophytic land vegetation*

The evolution of embryophytes around 450 Ma (table 1) permitted much higher primary production rates than did the pre-existing terrestrial algal flora, with a correspondingly greater weathering of soil (Yapp & Poths 1994). Both of these processes remove CO₂ from the atmosphere, with weathering of Ca and Mg aluminosilicates causing (Ca, Mg) CO₃ precipitation, removing CO₂ as sedimented carbonates in oceans. The more rapid weathering on land attendant on the evolution of herbaceous embryophyte vegetation was accentuated by deeper rooting by woody plants from the Middle Devonian onwards, which injected CO₂ (and organic acids) deeper into the soil and underlying rocks: see Berner (1997); Retallack (1997). It is important to remember that litter breakdown in soil can also increase the rate of weathering by providing more CO₂, so organic C breakdown does not necessarily return all of the resulting CO₂ directly to the atmosphere (Berner 1997). The possibility of long-term sequestration of C as organic C was increased by the evolution of less readily biodegraded polymers related to the water relations and mechanical challenges of life on land, e.g. lignin, cutin, and sporopollenin; the content of lignin as a fraction of biomass increased with the evolution of woody plants in the Upper Devonian. In addition to the short-term (geologically speaking) effect of terrestrial plant organic C sequestration with reconversion to CO₂ of most of the organic C over days to decades, there is the longer-term organic C cycle involving very little of the total net primary productivity being sedimented, with reconversion to CO₂ by weathering upon return to the Earth's surface or by events deeper in the Earth's crust. The inorganic C cycle involves the marine sedimentation of carbonate derived from terrestrial weathering of aluminosilicates, followed much later by conversion of the CaCO₃ (and SiO₂) to CO₂ and aluminosilicates,

Table 1. Atmospheric CO₂ and O₂, surface short-wave radiation, and diversity of terrestrial and marine O₂-evolvers, today and over evolutionarily defined intervals since 3.85 Ga ago (from data cited in the text)

Category	today	0.45–0.0 Ga	2.1–0.45 Ga	3.85–2.1 Ga
Atmospheric CO ₂ (at sea level)	36.5 Pa	less than present in the Pleistocene, especially in glaciations, otherwise similar (Upper Carboniferous) or higher (≤ 600 Pa)	higher than present (≤ 3 kPa?)	higher than present (≤ 3 kPa?)
Atmospheric O ₂ (at sea level)	20.8 kPa	similar to present day, but higher in Upper Carboniferous	initially low (≤ 1 kPa); from 0.55 Ga similar to present	< 200 μPa; localized O ₂ around O ₂ -evolving photolithotrophs in ocean?
Surface short-wave radiation (atmospheric, total)	—	about the same as today	≤ today	0
Photosynthetically active radiation (PAR), 400–700 nm	≤ 2 mmol photon m ⁻² s ⁻¹	≤ 2 mmol photon m ⁻² s ⁻¹	initially ≤ 1.9 mmol photon m ⁻² s ⁻¹	initially ≤ 1.6 mmol photon m ⁻² s ⁻¹
UV-B radiation, 320–380 nm	≤ 5 μmol photon m ⁻² s ⁻¹	≤ 5 μmol photon m ⁻² s ⁻¹	initially higher than today	much higher than today (10×?)
Surface temperature relative to today	—	generally warmer but with glacial episodes	generally warmer (?) but with glacial episodes	generally warmer (?) but with glacial episodes
Terrestrial O ₂ -evolvers	cyanobacteria Chlorophyta Rhodophyta Heterokontophyta Dinophyta Haptophyta	cyanobacteria Chlorophyta Rhodophyta Heterokontophyta (from 0.28 Ga?) Dinophyta (from 0.35 Ga?) Haptophyta (from 0.16 Ga?)	cyanobacteria Chlorophyta (from 1.7 Ga?) Rhodophyta (from 1.7 Ga?)	cyanobacteria (from 3.45 Ga?)
Marine O ₂ -evolvers	cyanobacteria (free living and in lichens) Chlorophyta (free living and in lichens) Embryophyta (bryophytes; pteridophytes; gymnosperms; angiosperms)	cyanobacteria (in lichens from 0.40 Ga?) Chlorophyta (in lichens from 0.40 Ga?) Embryophyta (bryophytes; pteridiophytes from ca. 0.42 Ga, gymnosperms from ca. 0.35 Ga; angiosperms from ca. 0.12 Ga)	cyanobacteria (from 1.2 Ga) Chlorophyta (from 1.2 Ga)	none

deep in the Earth's crust (Broecker 1995; Walker 1997). Berner (1997) also points out that stands of vegetation can increase weathering by recycling water in transpiration and rainfall, thus providing temporal continuity of wet, weatherable sediment, and by anchoring minerals rather than letting them erode.

The general downward trend in atmospheric CO₂ over the last 450 Ma may be related in part (quantified by Berner (1997), and references therein) to the activity of terrestrial plants in increasing weathering and hence sedimentation of inorganic C, and also sedimentation of organic C, against a background of variable resupply of inorganic C from long-term sedimented inorganic and organic C (Berner 1997; Retallack 1997), and with a contribution to inorganic C sequestration from marine photolithotrophs (see below).

Over most of the last 450 Ma, and certainly over the last 380 Ma, the predominant terrestrial photolithotrophs have been the sporophytes of vascular plants (Raven 1993b; table 1). The predominance of stomata as the means of gas exchange between plants and the atmosphere has implications for the water cost of plant growth, but may also alter the near-canopy temperature as a result of the variable, stomatally controlled allocation of absorbed radiant energy, which is not converted to chemical energy in photosynthesis, between latent heat of evaporation of water in transpiration and mainly convective loss as sensible heat. Models of this energy partitioning in relation to increased CO₂ from the present concentration of ca. 360 μmol mol⁻¹ to ca. 720 μmol mol⁻¹ suggest an increase in air temperature just above the canopy of a degree or more (Sellers *et al.* 1996) although with some

imperfect inputs. Such models, when refined, will clearly have relevance to the previous 420 Ma of terrestrial vegetation with stomata, since the atmospheric CO₂ level during this time has been higher than the present value except for the last few million years and (probably) during the Upper Carboniferous.

Stomatal responses to CO₂ take place at the development level (acclimatory changes in stomatal index and density: Woodward 1987), and the functional level (changes in aperture) as well, probably, at the evolutionary level (genotypically determined changes in stomatal index, density, and possibly of function: McElwain & Chaloner 1996). The genotypic and/or phenotypic changes in stomatal index/density with time since the Lower Devonian show an inverse relationship with the atmospheric CO₂ deduced from soil carbonate δ¹³C values and biogeochemical models (McElwain & Chaloner 1996; Berner 1997; cf. Poole *et al.* 1996). How these stomata responded to the CO₂ levels in which they functioned is not completely clear. The relatively constant δ¹³C value of terrestrial organic C over the last 400 Ma or so (see Raven & Spicer 1996) suggests that the organisms were functioning at a similar ratio of intracellular to atmospheric CO₂ concentration, as do extant terrestrial C₃ plants. This interpretation is based on the model of Farquhar *et al.* (1982), assuming constancy over the last 400 Ma of (i) the δ¹³C of atmospheric CO₂, (ii) the discrimination between ¹³CO₂ and ¹²CO₂ by ribulose biphosphate carboxylase (RUBISCO), and (iii) the kinetics of RUBISCO of terrestrial C₃ plants, and also that there have been no diagenetic changes in δ¹³C, and that the preserved material reflects the mean δ¹³C of the live plant (see Raven 1997a). Such interpretations, if taken at face value, suggest a relative constancy of *mean* stomatal functioning, in relation to the optimization strategies defined by Cowan & Farquhar (1977), since stomata evolved, with implications for water costs of growth and of canopy temperature as a function of CO₂ level (Beerling 1997; Sellers *et al.* 1996). A constant ratio of intracellular to atmospheric CO₂ would yield decreased water costs of photosynthesis, and higher rates of CO₂ fixation in C₃ plants at high atmospheric CO₂ levels, although the large changes in stomatal density with varying atmospheric CO₂ through time (McElwain & Chaloner 1996) combined with the δ¹³C data suggest an inverse relation of photosynthetic capacity and atmospheric CO₂ level. An increased canopy temperature with the high bulk tropospheric temperatures common in a high-CO₂ world is less of a problem for C₃ plants if their RUBISCO is CO₂-saturated, in agreement with the interpretation of the δ¹³C data in terms of a relatively constant ratio of intracellular to atmospheric CO₂ concentration (see Long 1991; Raven & Spicer 1996). However, Robinson (1994a) shows that Late Tertiary and Quarternary decreases in atmospheric CO₂ levels (from 500 to 200 μmol mol⁻¹) are correlated with a higher (less negative) δ¹³C of Indian peat deposits from C₃ plants, suggesting an emphasis on minimizing the water cost of growth at the expense of growth rate under low CO₂ conditions.

Another aspect of stomatal functioning in the past has been raised by Robinson (1994b) in relation to the rate and extent of stomatal responses in different higher taxa to any

environmental cue (relative humidity, light, CO₂). The scant data available suggest that 'later-evolving' taxa (angiosperms and, especially, fast-evolving flowering plants such as grasses and some forbs) have greater stomatal responsiveness (rate of response) than do gymnosperms and, particularly, pteridophytes (noting that grasses and other herbaceous angiosperms were once, evolutionarily, pteridophytes and inherited stomata from them!). If the slower responses of the stomata of 'early-evolving' taxa are confirmed by subsequent work, then the capacity for 'minute-by-minute' optimization of stomatal aperture in response to changing environmental conditions would be less in pteridophytes than in grasses. While δ¹³C values for extant C₃ terrestrial vascular plants suggest that the *mean* intracellular CO₂ concentration maintained during photosynthesis does not vary systematically among major taxa, the variability in the data could disguise ecophysiological significant differences (Raven 1997a). Cowan (1986) rightly asserts that there must be a trade-off between the benefit of optimizing water loss relative to carbon gain in a rapidly fluctuating environment by equally rapid stomatal responses and the cost of such rapid stomatal movements, but does not give a quantitative cost-benefit analysis such as Raven (1989) attempted for another nastic response, leaflet folding as a possible protection against photoinhibition from sunflecks in shade plants. Such a cost-benefit analysis for stomatal response times would be beneficial in deciding if the fastest-operating stomata are limited in their rate of response by evolutionary optimization considerations (not worth having them work faster) or mechanistic constraints (cannot make them work faster). It may be that the response rate of the biochemistry of photosynthesis to (e.g. to light changes, changes in RUBISCO activation, state transitions) may limit the extent to which more rapid stomatal changes could be capitalized on in integrated photosynthesis and transpiration in a rapidly fluctuating environment.

It is likely that any feedback mechanisms that operated between atmospheric CO₂ and vascular plant vegetation before about 15 Ma ago did so solely in the context of C₃ physiology—which does not saturate short-term photosynthesis (and, under otherwise optimal conditions, growth) until the atmospheric CO₂ level is at least 500 μmol mol⁻¹. Both C₄ and CAM have higher affinities for CO₂, but the earliest well-authenticated occurrence of C₄ photosynthesis is *ca.* 14 Ma ago, while CAM is not conclusively known from earlier than about 40 000 years ago, but is probably at least millions of years old (Raven 1997a,b; Raven & Spicer 1996). While the CO₂ level was higher than that present during most of the time between 450 and 15 Ma ago, it was probably similar to extant values in the Upper Carboniferous (Berner 1997), a time at which O₂ apparently made its sole significant excursion above present levels since 450 Ma ago (Berner & Canfield 1989; Graham *et al.* 1995; Raven *et al.* 1994). This means that the O₂:CO₂ ratio in the Upper Carboniferous atmosphere was probably as high as at any time in the last 450 Ma, including the last glacial maximum. Despite this, there is little evidence for C₄ or CAM metabolism in the Carboniferous from δ¹³C, or anatomical evidence (Raven 1997a). The only organisms that could have fixed CO₂ on land with as high a CO₂ affinity as C₄ and CAM

ts in the last 450 Ma are certain lichens and horn-
s. The algal photobionts of some extant lichens have
As, as do certain extant hornworts (Smith & Griffiths
a,b). Fossil lichens appear about 395 Ma ago, while
is cladistic and molecular genetic evidence for the
ence of the (embryophytic) hornworts for at least
Ma (Raven 1997*a,b*). These poikilohydric organisms
d probably never have been such major contributors
rrestrial productivity as C₄ (and CAM) plants are
y.

oday, CO₂ pumps (including C₄, CAM and CCMs)
be important for photolithotrophs exposed to air, in
s of permitting lower water costs of CO₂ assimilation;
is certainly the case for C₄ plants, and may also be
ficant for astomatous organisms (lichens; anthocero-
etophytes) to the extent that their relatively unregu-
water loss after a wetting event can occur with a
er CO₂ gradient between the atmosphere and the
s surface, thus permitting more C to be fixed per
ing event (Surif & Raven 1990). Whether this was as
ficant with the much higher bulk air CO₂ levels for
of the last 450 Ma needs further analysis.

ther terrestrial vegetation–atmosphere–climate inter-
ns that would have qualitatively, if not quantitatively,
e past as they do now include the production of volatile
ocarbons and NO, with subsequent photochemical
uction of tropospheric O₃, and the evolution of NH₃.
rocarbon production would probably have occurred
flowering plants and conifers, although what the
ozoic terrestrial vascular plants would have contrib-
is not clear since few data are available for
ocarbon emissions from extant pteridophytes. At all
ts the low NO levels would have limited O₃ production
n-toxic levels. For NH₃, any influence of N fertilizers,
erbated by artificial selection of crops for the capacity
apid consumption of high soil N levels, in increasing
release to the atmosphere would mean a lower
ous NH₃ release in the past than pertains today
en *et al.* 1992).

urning to the marine environment over the last 450 Ma,
hanges in primary producers in the sea have been more
ound than the evolution of terrestrial embryophytes, at
if change is taken as genetic distance among biota, and
ossil record calibrations of the molecular clock for such
er taxa as the Heterokontophyta (e.g. diatoms and
n algae) are accepted as showing that photosynthesis
is Division arose within the last 280 Ma (see Raven
a,b; table 1). Regardless of when the heterokonts
me photosynthetic, the fossil record tells us that
ms have only been catalysing SiO₂ sedimentation for
than 280 Ma. Furthermore, regardless of when the
ophyta evolved, the CaCO₃-sedimenting role of cocco-
phorids has only been evident for the last 160 Ma or so
en & Leadbeater 1994; table 1). While SiO₂ deposition
has an indirect effect on the C cycle and, especially, the
osphere, the precipitation of CaCO₃ can reduce, or even
se at a high enough ratio of particulate inorganic to
ic C production, the CO₂ decrease in solution resulting
net primary productivity. The influence on sea-surface
can increase the atmospheric CO₂ content relative to
ame amount of marine primary production by a non-
fied organism, with a positive feedback effect of
asing background CO₂ levels (Frankignoule *et al.*

1994). Such effects might be significant over periods of
millions of years (e.g. in the Cretaceous). A further atmo-
spherically significant property of the Haptophyta is the
production of DMS (see Kiene *et al.* 1996); this occurs in
non-coccolithophorids (e.g. *Phaeocystis* spp.) as well as calci-
fying haptophytes. Other major sources of DMS today are
marine dinoflagellates (Dinophyta; from 0.35 Ga²) and
pelagophytes (a class of the Heterokontophyta), with contri-
butions from certain marine macroalgae and maritime
higher plants (Kiene *et al.* 1996). It is possible that all of the
phytoplanktonic algae producing DMS evolved less than
450 Ma ago (see Raven 1997*a,b*; table 1). However, this
begs the question of whether their *non-photosynthetic* marine
ancestors, prior to acquisition of plastids by secondary endo-
symbiosis of a pre-existing eukaryotic microalga, produced
DMS, and if so, whether their abundance was such as to
make them significant sources of DMS in the context of
the global climate. Even if they were significant sources of
DMS, these ‘pre-photolithotrophic’ ancestors of major
DMS-producing algal taxa scarcely qualify as vegetation
for present purposes. Halocarbon production (and hence
O₃ destruction) by marine phototrophs using haloperoxi-
dase could have occurred in this time interval, since a
haloperoxidase gene occurs in extant cyanobacteria
(Kaneko *et al.* 1996).

(b) *The period between the origin of eukaryotic photolithotrophs and the origin of embryophytic land vegetation*

Eukaryotic marine vegetation can be dated to at least
1.7 Ga ago using the well-preserved fossils of a macroalga
described by Shixing & Huineng (1995), and probably to
the (presumed) eukaryotic macroalga *Grypanea* at 2.1 Ga
ago (Han & Runnegar 1992). Eukaryotic marine phyto-
plankton, in the form of acritarchs of a morphology
referable to resting stages of prasinophycean green algae,
can be found back to at least 1.2 Ga ago (see Raven
1997*b*). Red algae are known from at least 0.7 Ga, and
very probably 1.4 Ga ago, and they and the green algae
diversified from 0.65–0.55 Ga ago onwards (Raven
1997*a,b*; table 1). Cyanobacteria (and hence halocarbon
production (Kaneko *et al.* 1996)) were, of course, present
throughout.

The earliest geochemical evidence for photosynthetic
life on land comes from the work of Horodyski & Knauth
(1994) on weathering rates 1.2 Ga ago. The argument here
is that the weathering rates exceeded those expected from
the atmospheric CO₂ level, which is in turn derived from
greenhouse effect considerations; the observed weathering
rates are attributed to surface-dwelling photolithotrophs,
products of whose photosynthesis were biologically
converted to organic acids and CO₂ in the upper part of
the substratum, leading to increased weathering rates.
However, there is no evidence as to the nature of the
organisms involved in the ‘CO₂ pump’ from the atmo-
sphere to the upper substratum layers (Raven 1997*b*),
although cyanobacteria and green algae (common soil
algae today) could have been involved.

Atmospheric composition over the period 2.1–0.45 Ga
ago is less well constrained up to the beginning of the
Cambrian (0.55 Ga ago) than between 0.55 and 0.45 Ga
ago. In this latter 100 Ma, the concentration of CO₂ was
much higher (at least 10-fold) than that of today, while

O₂ was similar to extant values (table 1). It is likely that the atmospheric O₂ level was adequate for the synthesis of specifically embryophytic components, e.g. lignin, well before the first evidence of embryophytes and of lignin (Raven 1997*a,b*). Before 0.55 Ga ago, the O₂ concentration was lower, with the origin of eukaryotes 2.1 Ga ago corresponding to the first major occurrence of atmospheric O₂ at levels in excess of the value (10⁻⁸ of the present level) attained by photolysis of water vapour (table 1). Before 0.55 Ga ago, CO₂ was probably at least up to 100 times the present level, based on greenhouse considerations (noting the occurrence of glaciations: Kaufman 1997; Evans *et al.* 1997) in relation to lower radiant energy output by the younger sun (table 1).

The occurrence of atmosphere O₂ above the level attainable by photolysis of water is absolutely dependent on photosynthetic O₂ evolution being in excess of respiratory (and other) processes consuming O₂. The production of O₂ probably started with the evolution of cyanobacteria some 3.45 Ga ago, but it was largely consumed by inorganic oxidations (Fe²⁺ to Fe³⁺; S²⁻ to SO₄²⁻). The occurrence of free O₂ at the time of origin of eukaryotes permitted them to perform aerobic respiration (courtesy of endosymbiotic proteobacteria termed mitochondria), and provides a UV-B screen via photochemical production of stratospheric O₃, and in natural waters by the absorption of UV-B by dissolved O₂. The stratospheric O₃ could (as indicated earlier) be partly removed by volatile halocarbons, which are produced *inter alia* by O₂-dependent processes. The presence of O₂ also permits the expression of the oxygenase activity of RUBISCO, provided the CO₂ concentration at the site of RUBISCO activity is not so high (as a result of a high external CO₂ level with diffusive CO₂ entry, or as a result of CO₂ pumps of the C₄, CAM or CCM types) as to suppress the oxygenase activity. Free O₂ also increases the availability of Cu, which is needed for ubiquitous cytochrome oxidase as well as plastocyanin and Cu, and Zn superoxide dismutase found in some eukaryotic O₂-evolvers (Charophyceae, in the Division Chlorophyta, and Embryophyta). Negative effects of O₂ on O₂-evolvers include the production of damaging O species in and around the organism (e.g. H₂O₂, O₂⁻, OH radicals and ¹O₂) and a decrease in the availability of Fe (and hence P) and Mn (Raven 1997*a,b*), and in the stability of -SeH (Lee & Berry 1996). The earliest-evolving enzymes that remove O₂⁻ (Fe, Mn superoxide dismutase) and H₂O₂ (catalase; glutathione peroxidase) have, as essential components, elements Fe, Mn, and Se, whose availability or utility is reduced by the presence of O₂, which is responsible for production of the damaging O species (see Kaneko *et al.* 1996; Raven 1997*a,b*; Price & Harrison 1988; Takedo *et al.* 1993).

The occurrence of O₂ in the atmosphere and dissolved in natural waters thus had a number of very important effects on the availability of resources to photosynthetic organisms (including O₂ itself as the terminal oxidant), and the extent of damage by UV-B and toxic species derived from O₂. This comprises a set of very important feedbacks of the O₂ produced in photosynthesis on the organisms that produced the O₂. The extent to which the quantity of O₂ in the atmosphere can be related to the functioning of plants in a way which can itself alter the O₂ content of the atmosphere is still a matter of controversy. It

has been suggested that high O₂ levels in the atmosphere could decrease net photosynthesis by increasing photorespiratory O₂ uptake and CO₂ loss and decreasing gross photosynthetic CO₂ uptake and O₂ evolution, thus reducing the atmospheric O₂ levels as O₂ is consumed in other processes, and vice versa for low O₂ levels (Tolbert 1994). However, the complexities of the O₂ cycle make it difficult to defend the notion that photosynthesis controls atmospheric O₂; rather, photosynthesis acts as the O₂-generating component of a cycle involving sedimentation of organic C and reduced S and Fe, and reoxidation of this material when it is returned to the surface by tectonic activity (Broecker 1995; Walker 1994).

The CO₂ levels in the atmosphere 2.1–0.45 Ga ago were probably higher than any values found subsequently, based on greenhouse effect arguments for the time up to 0.55 Ga ago (table 1; cf. Rye *et al.* 1995). These high CO₂ levels, combined with O₂ levels no higher than the present value, and significantly lower for most of the 2.1 Ga–0.45 Ga period, meant that diffusive CO₂ would suppress the oxygenase activity of RUBISCO unless the diffusion boundary layer limitation on CO₂ diffusion was extreme (e.g. in a bulky microbialite); such circumstances would also restrict O₂ efflux, and further decrease the CO₂:O₂ ratio at the site of RUBISCO activity (Raven 1997*a,b,c*). The occurrence of the oxygenase activity of RUBISCO in microbialites would help to explain the occurrence of P glycolate phosphatase (preventing P sequestration in P glycolate) as an ancient feature of photolithotrophs (Raven 1997*a,b,c*), with perhaps less ancient CCMs as a mechanism found in parallel with P glycolate phosphatase in some photolithotrophs (Raven 1997*a,b,c*).

(c) *The period between the origin of photolithotrophy and the earliest eukaryotic photolithotrophs*

The earliest evidence for RUBISCO-based inorganic C assimilation comes from ¹³C/¹²C evidence some 3.85 Ga ago (Eiler *et al.* 1997, and references therein), with evidence for cyanobacteria 3.45 Ga ago. The only photolithotrophs that could evolve O₂ until 2.1 Ga ago were the cyanobacteria, when they were supplemented by eukaryotes with chloroplasts derived from cyanobacteria. The geochemical record suggests that free O₂ was very low until about 2.1 Ga ago, with all O₂ produced being consumed in oxidizing inorganic reductants. Such an almost complete absence of free O₂ before 2.1 Ga conflicts with molecular genetic evidence for the occurrence of cytochrome oxidase in a range of prokaryotes prior to the evolution of eukaryotes *ca.* 2.1 Ga ago (Schäfer *et al.* 1996). There are two problems here: one is that cytochrome oxidase has O₂ as its normal oxidant, and so presumably evolved in relation to the presence of that oxidant; the other is that cytochrome oxidase requires Cu, which would have been essentially unavailable in the absence of O₂. It also appears that the Cu-containing plastocyanin, complementing the Fe-containing cytochrome-*c*₆ in cyanobacteria, has a very ancient origin (Clarke & Campbell 1996; Kaneko *et al.* 1996), posing further problems in terms of Cu availability before O₂ built up. Localized availability of free O₂ in the oceans, but not necessarily in the atmosphere, could have permitted this evolutionary feedback of photosynthetically produced O₂ on the occurrence of Cu-requiring

osynthesis, and Cu-requiring respiration with O₂ as electron acceptor.

The CO₂ level before 2.1 Ga ago was probably not more than 100 times the present value (Rye *et al.* 1995); this chemical (weathering rate)-based value leaves a short-cut in the extent of greenhouse warming needed to account for the observed surface temperature in the context of the 'weak young sun'. At all events the CO₂ was high enough to saturate extant cyanobacterial DISCOs with diffusive CO₂ entry, unless they were in very microbialites where diffusive CO₂ influx and O₂ sink could possibly give a lower CO₂ concentration, and the O₂:CO₂ ratio despite the absence of all but local external O₂ (Raven 1997*a,b,c*; Watson & Tabita 1997). The extent to which facilitation of intracellular inorganic C diffusion, and intracellular CO₂ to HCO₃⁻ conversion by carbonic anhydrase, would be needed 2.1 Ga ago in the high-CO₂ environment is not clear (Raven 1997*c*). All extant carbonic anhydrases seem to use Fe as their optimal catalytic metal, and Zn was less available in the early anoxic world (Raven 1997*c*). However, Co alternate catalytic metal) was probably at least as available before 2.1 Ga as it is today (Raven 1997*c*).

There is evidence for emergent (exposed to the atmosphere) continental crust about 3.56 Ga ago (Buick *et al.* 1997). However, there is no evidence for life in terrestrial habitats before 1.2 Ga ago (see above), so that the supply of atmospheric CO₂ to terrestrial photolithotrophs was a problem 3.45–2.1 Ga ago. Any intertidal stromatolite might have been limited by atmospheric CO₂ supply at low tide at the CO₂ levels suggested by Rye *et al.* (1995). O₂ levels were *much* higher than those suggested by Buick *et al.* (1995), then this limitation by CO₂ would not be a problem; at very high CO₂ levels (sufficient to significantly raise atmospheric pressure), the diffusion coefficients of gases are lower than at the present atmospheric pressure (3 kPa), so that increasing CO₂ partial pressures in the atmosphere do not lead to linearly increasing CO₂ availability by diffusion.

CONCLUSIONS

Feedbacks between vegetation, the atmosphere, and the environment in the past as extrapolations of what occurs at the present moment clearly become less likely as we go further into the past.

Over the last 0.45 Ga, both atmospheric composition, and the photosynthetic biota involved, were generally different (but with higher CO₂ levels) to those found today, with significant terrestrial primary productivity impacting reduced C storage and the rate of chemical weathering. The high primary productivity over the last 0.45 Ga catalysed CaCO₃ and SiO₂ deposition, as well as organic C burial, and generated volatile organic sulphur and other compounds which had impacts on cloudiness and atmospheric O₃ (and hence the Earth's surface UV-B

between 2.1 Ga and 0.45 Ga, CO₂ was substantially higher, and O₂ and O₃ were lower (at least before 2.1 Ga) than today, with no embryophytic land vegetation, although algae could have occurred on land from 2.1 Ga, effecting an influence on terrestrial weathering. In the oceans the main primary producers were green and red

algae, and cyanobacteria, with (probably) less production of volatile halocarbons and DMS than occurred later.

Before 2.1 Ga there was negligible free O₂ (and O₃) in the atmosphere, but perhaps localized O₂ in oceans around sites of high primary productivity, brought about solely (among O₂-evolvers) by cyanobacteria. The consumption of photosynthetic O₂ by inorganic oxidants meant that trace element availability was very different from conditions after 2.1 Ga, and especially after 0.55 Ga; this early anoxic phase of evolution permitted large metabolic roles for elements (e.g. Fe, Mn) which, subsequent to the accumulation of O₂, became much less available.

Past and present colleagues have stimulated my thinking and curbed some of my wilder excesses.

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Discussion

M. A. MERCY (*Department of Geology and Geophysics, Yale University, New Haven, CT, USA*). Has other evidence been found to suggest the existence of land plants as early as 1.2 Ga besides increased weathering rates relative to those expected from the atmospheric CO₂ levels at that time? Have the kinetics of weathering reactions under different atmospheric conditions (especially those of earlier Earth history) been constrained sufficiently to rule out abiotic weathering rate enhancement?

RAVEN. I know of no fossil evidence for terrestrial life 12 billion years ago, and would not completely rule out abiotic weathering as the cause of the 'increased' weathering rates.

ANTON (*School of Environmental Sciences, University of East Anglia, Norwich, UK*). The evolution of difficult-to-biodegrade land plant structural materials such as lignin represented a novel form of organic carbon, more likely

to be buried than its predecessors. Wouldn't this have tended to force up atmospheric oxygen, especially considering the delay before fungi evolved the ability to biodegrade lignin?

J. A. RAVEN. Yes. See, for example, Robinson, J. M. 1989 The burial of organic carbon as affected by the evolution of land plants. *Historical Biol.* **3**, 189–201.